

APPLICATION — SECURITY COMPLIANCE LOAN

SECTION A - EXPORTER PROFILE

Legal Name of Exporter: _____
 Street Address: _____
 City: _____ Province: _____ Postal Code: _____
 Website: _____
 Contact Name: _____ Contact Title: _____
 Telephone: _____ - _____ - _____ Fax: _____ - _____ - _____ E-mail: _____

SECTION B – INDEPENDENT CONSULTANT PROFILE (must be completed)

Legal Name of Consultant: _____
 Street Address: _____
 City: _____ Province: _____ Postal Code: _____
 Website: _____
 Contact Name: _____ Contact Title: _____
 Telephone: _____ - _____ - _____ Fax: _____ - _____ - _____ E-mail: _____

SECTION C – GENERAL EXPORTER INFORMATION

The Exporter's last fiscal year ended on: _____ (day) _____ (month) _____ (year), for which its total sales (expressed in Canadian Dollars) were \$ _____, of which its Export* sales (expressed in Canadian Dollars) were \$ _____.

The Exporter's 12 month projected value of canadian Export* sales (expressed in Canadian Dollars): \$ _____.

*Goods of Canadian origin or manufacture, and/or services provided by Canadians (i.e. Contract Price less Foreign Supply).

Number of years Exporter has been in business: _____. Number of years Exporter has been exporting: _____.

The Exporter meets the following prerequisites for application to C-TPAT:

Importer of Record:

- i) The Exporter is an active Non-Resident Canadian Importer of Record into the United States: Yes ___ No ___
- ii) The Exporter has registered a valid continuous import bond with US Customs and Border Protection: Yes ___ No ___
- iii) The Exporter's Importer of Record ID No. assigned by US Customs and Border Protection and/or US IRS No. is: _____, and such No. is active and valid.

Highway Carrier:

- i) The Exporter is an active Canadian Highway Carrier of cargo from Canada to the United States: Yes ___ No ___
- ii) The Exporter's US Department of Transportation number (required to have the right to cross the border as a carrier) is: _____, and such No. is active and valid.

SECTION D - EDC SUPPORT REQUESTED

The Exporter requests i) a fixed rate loan or ii) a floating rate loan from EDC in the amount of CAD _____ to finance the purchase price of goods and services referred to in the attached Summary Sheet. The Exporter represents that, to the best of its knowledge, such goods and services were/are required, as confirmed by the Independent Consultant, to resolve security gaps identified by the Exporter and confirmed by the Independent Consultant in order for the Exporter to obtain C-TPAT Tier 1 certification in respect of its export business activities in the United States of America.

SECTION E – CONFIRMATION OF LANGUAGE PREFERENCE

The Exporter has explicitly requested and agreed that this Application and the documentation related thereto be written in the English language. L'Exportateur a expressément demandé et convenu que la présente Proposition et toute documentation y rattachée soient rédigées dans la langue anglaise.

IN WITNESS WHEREOF, the Exporter has affixed its corporate signature, attested by its proper officer in that behalf, to this Application and hereby certifies that the above particulars provided in this Application are true and correct to the best of its knowledge and belief, and covenants to advise EDC forthwith of any changes in respect of the said particulars prior to entering into the loan agreement. The Exporter also represents to and agrees with EDC that the C-TPAT program is not administered by EDC or the government of Canada and that EDC's role is limited to providing the above loan and the Exporter has made and will continue to make, independently and without reliance on EDC, its own analysis of the C-TPAT certification process (including the Independent Consultant's qualification) based on such documents and information as it has deemed to be appropriate and sufficient for such purpose. The Exporter waives any right it may have to claim from EDC, its affiliates or any of their respective directors, officers, agents and employees any losses or damages that the Exporter may suffer as a result of the C-TPAT process including lack of C-TPAT certification or Independent Consultant's qualification.

Date Signed: _____ (day) _____ (month) _____ (year)

Authorized Signature: _____

Name & Title (please print): _____

SUMMARY SHEET

The EDC Loan application must include a 'C-TPAT Technical Package'.

The C-TPAT Technical Package must include this Summary Sheet signed by one of the identified Independent Consultant, accompanied by a C-TPAT GAP analysis; describing the required gaps that must be filled for the Exporter to become C-TPAT compliant, plus all contracts or purchase orders in support of the GAP analysis.

Identified expenditures:

- 0) Upfront advisory services.....
- 1) Business Partner Security.....
- 2) Cargo Security.....
- 3) Container Security.....
- 4) Physical Access Controls.....
- 5) Personnel Security.....
- 6) Procedural Security.....
- 7) Security Training/Threat Awareness.....
- 8) Physical Security.....
- 9) Information Technology Security.....
- Total

By signing this document, the Independent Consultant declares that, based solely on the gap analysis attached, it is of the opinion that the purchase of the above goods and services (as more fully described in the attached quotations) is reasonably required in order for the loan applicant to meet C-TPAT Tier 1 certification criteria in respect of its export business activities in the United States of America. For greater certainty, the Independent Consultant makes no representations or warranties regarding any quotations or cost estimates made or supplied in connection with the loan application.

Independent Consultant Signature:

Date Signed: _____ (day) _____ (month) _____ (year)

Authorized Signature: _____

Name & Title (please print): _____